

Please complete this form in ink using BLOCK CAPITALS. Return the completed application form and your cheque to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB. New Star Investment Funds Limited is authorised and regulated by the Financial Services Authority. If you are unsure about the suitability of this investment, please consult your financial adviser.



# OEIC & UNIT TRUST APPLICATION FORM for direct investment

## Personal details – all investors

Permanent residential address in full	
Postcode	Email#
Tel No: Business	Home
Account designation* (if applicable)	
Registered name (if not an individual, e.g. company name)	
Existing account number (if applicable)	

# Only give your email address if you would like to receive marketing communications from the New Star Marketing Group.  
\* Accounts for minors (children under 18 years of age) must be registered in the name of an adult (or adults) and designated in favour of the minor using their initials.

	Title	Surname	Forename(s) in full	Date of Birth
1.				
2.†				

† If the address of the joint holders is different to that of the first holder or there are more than two holders (up to a maximum of four), then we need to be advised on a separate sheet to be sent with this application form.

Investments cannot be registered in the name of any trust or executorship but must be registered in the names of the individual trustees detailed above.

Please also indicate the name of the trust/estate here:

## Beneficial Ownership

This section must be completed – please refer to the beneficial ownership section within the Simplified Prospectus for more detail

Are you the beneficial owner of this investment? Yes  No

(if you answer “no” you are required to provide the full details of the beneficial owner(s) in the box below).

	First Account Holder/Name	Signature	Date
1.			
2.			
3.			
4.			

## Investment Details

Please list the fund(s) in which you wish to invest in and the amount per fund. The minimum lump-sum is £1,000 per fund. The minimum amount for monthly savings is £100 per month (minimum £50 per fund). Please state the full name of the fund in which you wish to invest in, e.g. New Star International Property Fund – Income.

Name of New Star fund and unit/share type**	Lump-sum amount	Regular savings monthly amount
	£	£
	£	£
	£	£
	£	£
	£	£
	£	£

Regular Savers: Please indicate the date you wish collections to be made  
1st of month  15th of month

If you do not specify, collections will be made on 1st of each month.

## Agent's stamp

To be completed by the agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.

Agency ref:

Discount %:

Commission payable %:

Advice not given

(Where this box has not been ticked, it will be assumed that advice has been given.)

Media code:

## Important information

**Monthly savings** – If making monthly savings, you must fill in the direct debit form and return it with this application form. Money laundering regulations oblige us to request that your first monthly investment is by a personal cheque drawn on the same account as your direct debit.

**Lump-sum and monthly savings** – Lump-sum payments can be made in addition to monthly direct debits.

**Income option** – Available to lump-sum investors in the following funds:

- Asian Dividend Income Unit Trust
- Extra High Yield Bond Unit Trust
- European Special Situations Fund
- Fixed Interest Unit Trust
- Global Financials Fund
- High Yield Bond Fund
- Higher Income Fund
- International Property Fund
- Managed Distribution Fund
- Sterling Bond Unit Trust
- UK Property Unit Trust
- UK Strategic Income Unit Trust

The following fund issues income shares, but it is not anticipated that income will be generated:

- Global Equity Fund

If you wish to receive income please complete the direct credit slip opposite with details of the bank/building society account into which you wish payments to be made.

**Corporate application** – If you are investing on behalf of a company, please be aware that we will require two authorised signatures and a company stamp to proceed with your application.

**Please do not use this form for investments in the New Star Portfolio Investment OEIC, or the New Star Cautious Portfolio Unit Trust.**

## Declaration

● I/we declare that this application form has been completed to the best of my/our knowledge and belief. ● I/we enclose a cheque made payable to New Star Investment Funds Limited for the lump-sum amount to be invested and/or the first monthly payment and confirm that all these monies are my/our own. ● I/we understand that you may require additional information from me/us in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations. ● I/we confirm that I/we have been offered and may request a copy of the prospectus and the latest annual and interim report and accounts/manager's report. ● I have received a printed copy of the Simplified Prospectus that relates to my chosen investment. If I have downloaded this application form, I acknowledge that the Simplified Prospectus was also enclosed and I have printed a hard copy. I understand that this Simplified Prospectus should be retained for my future reference. ● I/we have read and understood, and agree to be bound by, the Simplified Prospectus, including those parts that explain the basis on which New Star Investment Funds Limited will provide certain services to me/us. The Simplified Prospectus will constitute a written agreement between me/us and New Star Investment Funds Limited for that purpose.

Signature	Date
1.	
2.	

We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other New Star group companies may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading 'Personal Data'. Please tick this box if you do not wish to receive marketing communications from the New Star Marketing Group by mail or telephone.   
Issued by New Star Investment Funds Limited, incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London, EC2M 3AE (reg no. 4033107) which is authorised and regulated by the Financial Services Authority to provide investment products and services. New Star Investment Funds Limited is a wholly owned subsidiary of Henderson Group Plc (incorporated and registered in Jersey under number 101484 with its registered office at 47 Esplanade, St Helier, Jersey JE1 0BD). Telephone calls may be recorded and monitored.

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## Direct Credit slip

**Do not complete unless you are a lump-sum investor and wish income to be paid out on your investments.**

Name and full address of your bank or building Society

Name
Address
Postcode
Account name

**For lump-sum investors** you may opt to have income paid directly into your bank or building society account. Alternatively, depending on the fund(s) in which you have invested, income will be automatically reinvested for you. Simply complete this slip in full and return it to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB.

Bank/building society Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Branch sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Building society roll number (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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## Direct Debit

### New Star Investment Funds Limited

Simply fill in the whole form and return it to:  
**New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB.

Name and full address of your bank or building Society

To: The manager
Address
Postcode
Name(s) of account holder(s)

Bank/building society account no	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Branch sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Instruction to Bank/Building Society to pay direct debits

Originator's identification number

6 5 5 2 7 4



Reference number (for official use only)

<input type="text"/>
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Please pay New Star Investment Funds direct debits from my account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with New Star Investment Funds, and, if so, details will be passed electronically to my bank/building society.

Signature(s)	Date
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

**Note:** Banks and building societies may not accept direct debit instructions for some types of account.

Please note: 10 working days are required by your bank to set up direct debits.