

Please complete this form in ink using BLOCK CAPITALS. Return the completed application form to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB. New Star Investment Funds Limited is authorised and regulated by the Financial Services Authority. If you are unsure about the suitability of this investment, please consult your financial adviser.



ISA TRANSFER FORM

Stocks and Shares ISA Transfer
 Cash ISA Transfer

Personal details

Title (Mr / Mrs / Miss / Ms / Other) _____

Surname _____

Forename(s) in full _____

Permanent residential address in full* _____

Postcode _____ Email# _____

Tel No: Business _____ Home _____

Existing account number (if applicable) _____

* We are unable to accept a c/o or PO Box address.
Only give your email address if you would like to receive marketing communications from the New Star Marketing Group.

Details required by HM Revenue and Customs

Your application cannot be accepted without the following information:

Date of Birth

National Insurance Number

If you do not have a National Insurance Number, please tick this box.

You should be able to find your National Insurance Number on a payslip, form P45 or P60, or a letter from HM Revenue and Customs or the Department for Work and Pensions.

Stocks and Shares ISA Details – please complete Form 1 opposite

Name of ISA Manager _____

- Previous year's Stocks & Shares ISA may now include investments originally made as PEPs. Please check with current ISA Manager if you are unsure.

Cash ISA Details – please complete Form 2 opposite

Name of ISA Manager _____

- New Star does not offer a Cash ISA for new investments. All Cash ISAs will be transferred into the New Star Stocks and Shares ISA.
- **If you have ISAs with more than one Manager, either photocopy this form or call us for additional copies on 0845 200 2001 or print from our website at www.henderson.com.**

Investment Details

Please be advised that the minimum transfer amount is £1,000 per fund.

Please state the full name of New Star fund and unit/share type, e.g. New Star International Property Fund – Income. Stocks & Shares ISA**	% of transfer
_____	_____ %
_____	_____ %
_____	_____ %
_____	_____ %
TOTAL 100%	

** If you have selected to invest in the New Star Managed Distribution Fund – income, please indicate here if you wish to receive income on a quarterly or monthly basis.

Quarterly (class R income shares)
Monthly (class M income shares) *If you do not specify, income will be distributed or reinvested on a quarterly basis*

Declaration

I declare that this application form has been completed to the best of my knowledge and belief.
I authorise New Star Investment Funds to: ● hold my cash subscriptions, ISA investments, interest, distributions and any other rights or proceeds in respect of those investments and any other cash in the ISA; ● make on my behalf any claims to relief from tax in respect of ISA investments; and, ● on my written request transfer or pay to me, as the case may be, ISA investments or any cash held in the ISA.
I agree that my ISA, upon transfer, will be governed by the Terms and Conditions of the New Star ISA. I agree to be bound by them in all respects. **I agree** that this application is subject to acceptance by the current ISA Manager. **I agree** to inform you of any changes in my circumstances. **I understand that you may require additional information from me in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations. I confirm that I have been offered and may request a copy of the prospectus and the latest annual and interim report and accounts/Manager's report. I have received a printed copy of the Simplified Prospectus and terms and conditions that relate to my chosen investment. If I have downloaded this application form, I acknowledge that the Simplified Prospectus and terms and conditions were also enclosed and I have printed a hard copy. I understand that these Simplified Prospectus and terms and conditions should be retained for my future reference. I have read and understood, and agree to be bound by, the Simplified Prospectus and terms and conditions, including those parts which explain the basis on which New Star Investment Funds Limited will provide certain services to me. The Simplified Prospectus and terms and conditions will constitute a written agreement between me and New Star Investment Funds Limited for that purpose.**

Signature Date

Agent's stamp

To be completed by the agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.

Agency ref: _____

Discount %: _____

Commission payable %: _____

Advice not given

(Where this box has not been ticked, it will be assumed that advice has been given.)

Media code: _____

Important information

Income option – Available to investors in the following funds:

- Asian Dividend Income Unit Trust
- Extra High Yield Bond Unit Trust
- European Special Situations Fund
- Fixed Interest Unit Trust
- Global Financials Fund
- High Yield Bond Fund
- Higher Income Fund
- International Property Fund
- Managed Distribution Fund
- Sterling Bond Unit Trust
- UK Property Unit Trust
- UK Strategic Income Unit Trust

The following fund issues income shares, but it is not anticipated that income will be generated:

- Global Equity Fund

If you wish to receive income please complete the direct credit slip opposite with details of the bank/building society account into which you wish payments to be made.

Please do not use this form for investments in the New Star Portfolio Investment OEIC or the New Star Cautious Portfolio Unit Trust.

Application checklist

IMPORTANT – Please read the following since incomplete applications may have to be returned.

1. Have you indicated the type of transfer – Stocks and Shares ISA and/or Cash ISA?
2. Have you completed in full the 'Personal Details' section? Please note we cannot accept c/o or PO Box addresses.
3. Have you supplied your date of birth and National Insurance Number (or ticked the box if you do not have a National Insurance Number)?
4. Have you completed in full the appropriate Stocks and Shares ISA transfer (Form 1) and/or Cash ISA transfer (Form 2) section?
5. Have you stated the fund(s) you wish your transfer proceeds to be invested in and the % amount per fund?
6. Have you signed and dated the form in the places marked with an 'X'?
7. If you would like income paid out to you, have you completed the 'direct credit' slip?
8. Have you stated which unit/share type you would like your investment made in to? Unless stated otherwise, and where applicable, we will purchase accumulation shares/units.

Please do not use staples.

Please return your completed ISA Transfer Form and Form 1 and/or Form 2 to New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB.

If you have any questions regarding the completion of this form please contact your financial adviser or New Star Customer Services on **0845 608 8704**.

We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other New Star group companies may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading 'Personal Data' and in the ISA terms and conditions at clause 17.3 'Uses of your Personal Information'. Please tick this box if you do not wish to receive marketing communications from the New Star Marketing Group by mail or telephone.

Direct Credit slip

Do not complete unless you wish income to be paid out on your investments.

Name and full address of your bank or building society

Name _____

Address _____

Postcode _____

Account name _____

You may opt to have income paid directly into your bank or building society account. Alternatively, depending on the fund(s) in which you have invested, income will be automatically reinvested for you. Simply complete this slip in full and return it to:

New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB.

Bank/building society Account Number

Branch sort code

Building society roll number (if applicable)

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Form 1 – Stocks and Shares ISA to be transferred

Name of ISA Manager _____

Address _____

Postcode _____

Account/ref no(s) of ISAs to be transferred: _____

Personal Details

Title (Mr / Mrs / Miss / Ms / Other) _____

Surname _____

Forename(s) in full _____

Stocks and Shares ISA Subscriptions to be transferred

Current year Stocks and Shares ISA – (Note that current year subscriptions must be transferred as a whole)

Please transfer my current year's subscriptions **Yes** **No**

Previous years – part transfers are accepted provided your existing account manager can accommodate this request. **Please check with your existing account manager before completing this form.**

Please transfer my previous year's subscriptions **Yes** **No**

Or please transfer % or £ **of my total Stocks and Shares ISA holding** currently held by you

- Previous year's Stocks & Shares ISA may now include investments originally made as PEPs. Please check with current ISA Manager if you are unsure.

Instruction

I hereby instruct my current ISA Manager to transfer the above Stocks and Shares ISA(s) in cash to New Star Investment Funds. I also authorise you to provide New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB with any information concerning the above Stocks and Shares ISA(s) and to accept from them any instruction relating to the Stocks and Shares ISA(s). This is subject to New Star's acceptance of my transfer application.

Signature Date

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Form 2 – Cash ISA to be transferred

Name of ISA Manager _____

Address _____

Postcode _____

Account/ref no(s) of ISAs to be transferred: _____

Personal Details

Title (Mr / Mrs / Miss / Ms / Other) _____

Surname _____

Forename(s) in full _____

Cash ISA Subscriptions to be transferred

Current year Cash ISA – (Note that current year subscriptions must be transferred as a whole)

Please transfer my current year's subscriptions **Yes** **No**

Previous years – part transfers are accepted provided your existing account manager can accommodate this request. **Please check with your existing account manager before completing this form.**

Please transfer my previous year's subscriptions **Yes** **No**

Or please transfer % or £ **of my total Cash ISA holding** currently held by you

- New Star does not offer a Cash ISA for new investments. All Cash ISAs will be transferred into the New Star Stocks and Shares ISA.

Instruction

I hereby instruct my current ISA Manager to transfer the above Cash ISA(s) in cash to New Star Investment Funds. I also authorise you to provide New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB with any information concerning the above Cash ISA(s) and to accept from them any instruction relating to the Cash ISA(s). This is subject to New Star's acceptance of my transfer application.

Signature Date