

Please complete this form in ink using BLOCK CAPITALS. Return the completed application form and your cheque to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB. New Star Investment Funds Limited is authorised and regulated by the Financial Services Authority. If you are unsure about the suitability of this investment, please consult your financial adviser.



# STOCKS AND SHARES ISA APPLICATION FORM

## Personal details

Title (Mr / Mrs / Miss / Ms / Other)	
Surname	
Forename(s) in full	
Permanent residential address in full*	
Postcode	Email#
Tel No: Business	Home
Existing account number (if applicable)	

\* We are unable to accept a c/o or PO Box address.

# Only give your email address if you would like to receive marketing communications from the New Star Marketing Group.

## Details required by HM Revenue and Customs

Your application cannot be accepted without the following information:

Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
National Insurance Number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>			
If you do not have a National Insurance Number, please tick this box. <input type="checkbox"/>								

You should be able to find your National Insurance Number on a payslip, form P45 or P60, or a letter from HM Revenue and Customs or the Department for Work and Pensions.

## Investment Details

I apply to subscribe for a Stocks and Shares ISA for the tax year 2009/2010 and each subsequent year until further notice. (Please be aware that this statement, recommended by HM Revenue and Customs, enables you to invest in future tax years without the need for a new application form. It does not oblige you to invest with New Star in subsequent tax years unless you decide to do so.)

The maximum annual investment allowance in a Stocks and Shares ISA is £7,200. Please note that from 6 October 2009, the ISA limit will increase to £10,200 and the Cash ISA limit will increase to £5,100 per year for investors aged 50 or over. These new ISA limits will be extended to all ISAs on 6 April 2010.

Please list the fund(s) in which you wish to invest in and the amount per fund. The minimum lump-sum is £1,000 per fund. The minimum amount for monthly savings is £100 per month (minimum £50 per fund).

## Name of New Star fund and unit/share type

Please state the full name of the fund in which you wish to invest in, e.g. New Star International Property Fund – Income.

Stocks and Shares ISA**	Lump-sum	Regular savings monthly amount†
<input type="text"/>	£	£
<input type="text"/>	£	£
<input type="text"/>	£	£
<input type="text"/>	£	£

\*\*If you have selected to invest in the New Star Managed Distribution Fund – income, please indicate here if you wish to receive income on a quarterly or monthly basis.

Quarterly (class R income shares)

Monthly (class M income shares)

If you do not specify, income will be distributed or reinvested on a quarterly basis.

† Regular Savers: Please indicate the date you wish collections to be made

1st of month  15th of month

If you do not specify, collections will be made on 1st of each month.

**New Star does not offer a Cash ISA for new investments.**

## Agent's stamp

To be completed by the agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.

Agency ref:

Discount %:

Commission payable %:

Advice not given

(Where this box has not been ticked, it will be assumed that advice has been given.)

Media code:

## Important information

**Monthly savings** – If making monthly savings, you must fill in the direct debit form and return it with this application form. Money laundering regulations oblige us to request that your first monthly investment is by a personal cheque drawn on the same account as your direct debit.

**Lump-sum and monthly savings** – Lump-sum payments can be made in addition to monthly direct debits providing the total amount does not exceed the relevant annual limit.

**Income option** – Available to lump-sum investors in the following funds:

- Asian Dividend Income Unit Trust
- Extra High Yield Bond Unit Trust
- European Special Situations Fund
- Fixed Interest Unit Trust
- Global Financials Fund
- High Yield Bond Fund
- Higher Income Fund
- International Property Fund
- Managed Distribution Fund
- Sterling Bond Unit Trust
- UK Property Unit Trust
- UK Strategic Income Unit Trust

The following fund issues income shares, but it is not anticipated that income will be generated:

- Global Equity Fund

If you wish to receive income please complete the direct credit slip opposite with details of the bank/building society account into which you wish payments to be made.

**Please do not use this form for investments in the New Star Portfolio Investment OEIC or the New Star Cautious Portfolio Unit Trust.**

## Declaration

**I declare** that:

- this application form has been completed to the best of my knowledge and belief.
- all subscriptions made, and to be made, belong to me.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.

• I am 18 years of age or over. • I am resident and ordinarily resident in the UK for tax purposes or, if not so resident, either perform duties which, by virtue of section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform New Star Investment Funds if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with, a person who performs such duties. • I enclose a cheque made payable to New Star Investment Funds Limited for the lump-sum amount to be invested and/or the first monthly payment and confirm that all these monies are my own.

**I authorise** New Star Investment Funds to: • hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash. • make on my behalf any claims to relief from tax in respect of ISA investments, and; • on my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, or rights or other proceeds in respect of such investments or any cash.

**I agree** to inform you of any changes in my circumstances. • **I understand that you may require additional information from me in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations. I confirm that I have been offered and may request a copy of the Prospectus and the latest annual and interim report and accounts/manager's report. I have received a printed copy of the Simplified Prospectus and terms and conditions that relate to my chosen investment. If I have downloaded this application form, I acknowledge that the Simplified Prospectus and terms and conditions were also enclosed and I have printed a hard copy. I understand that these Simplified Prospectus and terms and conditions should be retained for my future reference. I have read and understood, and agree to be bound by, the Simplified Prospectus and terms and conditions, including those parts which explain the basis on that New Star Investment Funds Limited will provide certain services to me. The Simplified Prospectus and terms and conditions will constitute a written agreement between me and New Star Investment Funds Limited for that purpose.**

Signature	Date
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We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other New Star group companies may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading 'Personal Data' and in the ISA Terms and Conditions at clause 17.3 'Uses of your Personal Information'. Please tick this box if you do not wish to receive marketing communications from the New Star Marketing Group by mail or telephone.

Issued by New Star Investment Funds Limited, incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London, EC2M 3AE (reg no. 4033107) which is authorised and regulated by the Financial Services Authority to provide investment products and services. New Star Investment Funds Limited is a wholly owned subsidiary of Henderson Group Plc (incorporated and registered in Jersey under number 101484 with its registered office at 47 Esplanade, St Helier, Jersey JE1 0BD). Telephone calls may be recorded and monitored.

Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use

## Direct Credit slip

**Do not complete unless you are a lump-sum investor and wish income to be paid out on your investments.**

Name and full address of your bank or building Society

Name
Address
Postcode
Account name

Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use • Please do not detach – for office use

## Direct Debit

### New Star Investment Funds Limited

Simply fill in the whole form and return it to:

**New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB.

Name and full address of your bank or building Society

To: The manager
Address
Postcode
Name(s) of account holder(s)

Bank/building society account no

Branch sort code

**For lump-sum investors** you may opt to have income paid directly into your bank or building society account. Alternatively, depending on the fund(s) in which you have invested, income will be automatically reinvested for you. Simply complete this slip in full and return it to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB.

Bank/building society Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Branch sort code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Building society roll number (if applicable)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## Instruction to Bank/Building Society to pay direct debits

Originator's identification number

6	5	5	2	7	4
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Reference Number (for official use only)

<input type="text"/>
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Please pay New Star Investment Funds direct debits from my account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with New Star Investment Funds, and, if so, details will be passed electronically to my bank/building society.

Signature(s)	Date
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

**Note:** Banks and building societies may not accept direct debit instructions for some types of account.

Please note: 10 working days are required by your bank to set up direct debits.