

Please complete this form in ink using BLOCK CAPITALS. Return the completed application form to: **New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB. New Star Investment Funds Limited is authorised and regulated by the Financial Services Authority. If you are unsure about the suitability of this investment, please consult your financial adviser.



# New Star fund of funds range ISA transfer form (Portfolio Investment OEIC and Unit Trust)

**Stocks and Shares ISA Transfer**
 **Cash ISA Transfer**

**Personal details**

Title (Mr / Mrs / Miss / Ms / Other)

Surname

Forename(s) in full

Permanent residential address in full\*

Postcode E-mail†

Tel No: Business Home

Existing account number (if applicable)

\* We are unable to accept a c/o or PO Box address.  
 † Please only provide your e-mail address if you would like to receive marketing communications from the New Star Marketing Group.

**Details required by HM Revenue and Customs**  
 Your application cannot be accepted without the following information:

Date of birth

National Insurance Number

If you do not have a National Insurance Number, please tick this box.

You should be able to find your National Insurance Number on a payslip, form P45 or P60, or a letter from HM Revenue and Customs or the Department for Work and Pensions.

**Stocks and Shares ISA details – please complete Form 1 opposite**

Name of ISA Manager

● Previous year's Stock & Shares ISA may now include investments originally made as PEPs. Please check with current ISA Manager if you are unsure.

**Cash ISA details – please complete Form 2 opposite**

Name of ISA Manager

● New Star does not offer a Cash ISA for new investments. All Cash ISAs will be transferred into the New Star Stocks and Shares ISA.  
 ● If you have ISAs with more than one Manager, either photocopy this form, call us for additional copies on 0845 200 2001 or print from our website www.henderson.com.

**Investment details**

Please be advised that the minimum transfer amount is £1,000 per fund. I wish the proceeds of my transferred ISA to be applied in the purchase of the following funds:

Fund	% transfer	Amount per month	% per annum (% of total fund investment)
<b>New Star Portfolio Investment OEIC</b>			
New Star Active Portfolio	%	£	or %
New Star American Portfolio	%	£	or %
New Star Asia Portfolio	%	£	or %
New Star Balanced Portfolio	%	£	or %
New Star European Portfolio	%	£	or %
New Star Managed Portfolio (inc. shares)	%	£	or %
New Star Managed Portfolio (acc. shares)	%	£	or %
New Star Tactical Portfolio	%	£	or %
<b>Unit Trust</b>			
New Star Cautious Portfolio	%	£	or %
<b>Total</b>	<b>100%</b>	<b>£</b>	

**Monthly withdrawal facility**

**Agent's stamp**

To be completed by the agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.

Agency ref:

Discount %:

Commission payable %:

Advice not given  
 (Where this box has not been ticked, it will be assumed that advice has been given.)

Media code:

**Important information**

**Income option** (investors in the Managed Portfolio income shares only) **and monthly withdrawal facility** – If you wish to receive any income or have chosen to use the monthly withdrawal facility, please complete the direct credit slip opposite with details of the bank/building society account into which you wish payments to be made.

**Application checklist**

- IMPORTANT – Please read the following since incomplete applications may have to be returned.
- Have you indicated the type of transfer – Stocks and Shares ISA and/or Cash ISA?
  - Have you completed in full the 'Personal details' section? (Please note we cannot accept c/o or PO Box addresses.)
  - Have you supplied your date of birth and National Insurance Number (or ticked the box if you do not have a National Insurance Number)?
  - Have you completed in full the appropriate ISA transfer (Form 1 or 2 or both)?
  - Have you stated against each of the fund(s) you wish your transfer proceeds to be invested in, the % amount per fund?
  - Have you signed and dated the form in the places marked with an 'X'?
  - If you are investing in income shares/units and would like income paid out to you or have chosen to use the monthly withdrawal facility, have you completed the direct credit slip?

Please do not use staples.

**Please return your completed ISA Transfer Form and Form 1 and/or Form 2 to New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB.**

If you have any questions regarding the completion of this form please contact your financial adviser or our customer services team on **0845 608 8704**.

**Monthly withdrawal facility**

If you wish to use the monthly withdrawal facility you should indicate from which fund(s) the withdrawals should be taken and the amount per month or percentage per annum. You should also complete the direct credit slip opposite. The withdrawal amount will be paid monthly into your bank/building society account on the 15th of each month (minimum £25 per month and maximum of 7.5% p.a. of total investment).

**Declaration and authorisation**

I declare that this application form has been completed to the best of my knowledge and belief.  
 I authorise New Star Investment Funds to: ● hold my cash subscriptions, ISA investments, interest, distributions and any other rights or proceeds in respect of those investments and any other cash in the ISA; ● make on my behalf any claims to relief from tax in respect of ISA investments; and, ● on my written request transfer or pay to me, as the case may be, ISA investments or any cash held in the ISA.  
 I agree that my ISA, upon transfer, will be governed by the terms and conditions of the New Star ISA. I agree to be bound by them in all respects.  
 I agree that this application is subject to acceptance by the current ISA Manager.  
 I agree to inform you of any changes in my circumstances. I understand that you may require additional information from me in accordance with the money laundering regulations and I acknowledge that electronic data sources may

Signature X

We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other New Star group companies may use your data and/or share your data with others identified in, and for the purposes described in, the Simplified Prospectus under the heading 'Personal Data' and in the ISA terms & conditions at clause 17.3 'Uses of your personal information'. Please tick this box if you do not wish to receive marketing communications from the New Star Marketing Group by mail or telephone.   
 Issued by New Star Investment Funds Limited, incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London, EC2M 3AE (reg no. 4033107) which is authorised and regulated by the Financial Services Authority to provide investment products and services. New Star Investment Funds Limited is a wholly owned subsidiary of Henderson Group Plc (incorporated and registered in Jersey under number 101484 with its registered office at 47 Esplanade, St Helier, Jersey JE1 0BD). Telephone calls may be recorded and monitored.

be used to check my identity under the money laundering regulations. I confirm that I have been offered and may request a copy of the Prospectus and the latest annual and interim report and accounts/managers report. I have received a printed copy of the Simplified Prospectus and terms and conditions that relate to my chosen investment. If I have downloaded this application form, I acknowledge that the Simplified Prospectus and terms and conditions were also enclosed and I have printed a hard copy. I understand that the Simplified Prospectus and terms and conditions should be retained for my future reference. I have read and understood, and agree to be bound by, the Simplified Prospectus and terms and conditions, including those parts which explain the basis on which New Star Investment Funds Limited will provide certain services to me. The Simplified Prospectus and terms and conditions will constitute a written agreement between me and New Star Investment Funds Limited for that purpose.

Date X

**Direct credit slip**

Do not complete unless you are an investor in the Managed Portfolio (income shares) and wish income to be paid out on your investments or you have chosen to use the monthly withdrawal facility.

Name and full address of your bank or building society

Name

Address

Postcode

Account name

For lump-sum investors in the fund stated below, you may opt to have income paid directly into your bank or building society account. Alternatively, income will be automatically reinvested for you. Simply complete the slip in full and return it to:

**New Star Investment Funds**, PO Box 9023, Chelmsford CM99 2WB. For the **Managed Portfolio** income shares only: income paid 31 May.

Bank/building society account number

Branch sort code

Building society roll number (if applicable)

Please do not detach – for office use.

**Form 1 – Stocks and Shares ISA to be transferred**

Name of ISA Manager

Address

Postcode

Account/ref no(s) of ISAs to be transferred:

**Personal details**

Title (Mr / Mrs / Miss / Ms / Other)

Surname

Forename(s) in full

**Stocks and Shares ISA Subscriptions to be transferred**

**Current year Stocks and Shares ISA** Please transfer my current year's subscriptions Yes  No

(Note that current year subscriptions must be transferred as a whole)

**Previous years – part transfers are accepted providing your existing account manager can accommodate this request. Please check with your existing account manager before completing this form**

Please transfer my previous year's subscriptions Yes  No

Or please transfer  % or £  of my total Stocks and Shares ISA holding currently held by you

● Previous year's Stock & Shares ISA may now include investments originally made as PEPs. Please check with current ISA Manager if you are unsure.

**Instruction**  
 I hereby instruct my current ISA Manager to transfer the above Stocks and Shares ISA(s) in cash to New Star Investment Funds. I also authorise you to provide New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB with any information concerning the above Stocks and Shares ISA(s) and to accept from them any instruction relating to the Stocks and Shares ISA(s). This is subject to New Star's acceptance of my transfer application.

Signature X

Date X

**Form 2 – Cash ISA to be transferred**

Name of ISA Manager

Address

Postcode

Account/ref no(s) of ISAs to be transferred:

**Personal details**

Title (Mr / Mrs / Miss / Ms / Other)

Surname

Forename(s) in full

**Cash ISA Subscriptions to be transferred**

**Current year Cash ISA** Please transfer my current year's subscriptions Yes  No

(Note that current year subscriptions must be transferred as a whole)

**Previous years – part transfers are accepted providing your existing account manager can accommodate this request. Please check with your existing account manager before completing this form**

Please transfer my previous year's subscriptions Yes  No

Or please transfer  % or £  of my total Cash ISA holding currently held by you

● New Star does not offer a Cash ISA for new investments. All Cash ISAs will be transferred into the New Star Stocks and Shares ISA.

**Instruction**  
 I hereby instruct my current ISA Manager to transfer the above Cash ISA(s) in cash to New Star Investment Funds. I also authorise you to provide New Star Investment Funds, PO Box 9023, Chelmsford CM99 2WB with any information concerning the above Cash ISA(s) and to accept from them any instruction relating to the Cash ISA(s). This is subject to New Star's acceptance of my transfer application.

Signature X

Date X

Please do not detach – for office use.