



## SECTION 2 – About your investment

I apply to subscribe for a stocks and shares ISA for the tax year **2011/2012** and each subsequent year until further notice. (Please be aware that this statement, recommended by HM Revenue & Customs, enables you to invest in future tax years without the need for a new application form. It does not oblige you to invest with Henderson Global Investors in subsequent tax years unless you decide to do so.)

Please select the fund(s) and the share/unit class you wish to invest in and write your investment amount in the appropriate box, depending on whether you wish to invest a lump-sum or by monthly savings. Information on the funds and share/unit classes is contained within each fund's Simplified Prospectus.

The minimum lump sum is £1,000 per fund, the minimum regular saving amount is £100 per month per fund with the exception of the Global Care Growth Fund, Global Care Managed Fund and the Global Care UK Income Fund where the minimum is £50 per month per fund.

The maximum annual allowance in a stocks and shares ISA is £10,680.

Cheques should be made payable to '**Henderson Global Investors**'. If you are investing via regular savings, remember to complete the Direct Debit mandate. Please turn over to read, sign and date the declaration.

Please see our website [www.henderson.com/absolutereturn](http://www.henderson.com/absolutereturn) to find out the availability of shares for the Henderson European Absolute Return Fund.

Fund name	Sedol	Lump-sum	Monthly Saving <sup>††</sup>
All Stocks Credit Fund A Acc	B409PD2		
All Stocks Credit Fund A Inc*	0745107		
Asia Pacific Capital Growth Fund A Acc	0768018		
Asian Dividend Income Unit Trust Inc*	0324346		
Asian Dividend Income Unit Trust Acc	B58RLX7		
Cautious Managed Fund A Acc	3247763		
Cautious Managed Fund A Inc**	3247730		
China Opportunities Fund A Acc	3186093		
Credit Alpha A Acc	B3KTJD3		
Diversified Absolute Return Fund A Acc	B1GJNX1		
Emerging Markets Fund A Acc	0774037		
Emerging Markets Opportunities Fund A Acc	3186101		
Emerging Markets Opportunities Fund A Acc	3186101		
European Absolute Return Fund A Acc	B3CPX15		
European Focus Fund A Acc	3186059		
European Growth Fund A Acc	3061770		
European Selected Opportunities Fund A Acc	3243794		
European Smaller Companies Fund A Acc	0747608		
European Special Situations Fund A Acc	B3W4624		
European Special Situations Fund A Inc*	B42RJH6		
European Value Fund A Acc	B1XQM27		
Extra Monthly Income Bond Fund Acc	B4627X9		
Extra Monthly Income Bond Fund Inc*	0244671		
Fixed Interest Monthly Income Fund Inc*	0192048		
Global Care Growth Fund A Inc*	0502722		
Global Care Managed Fund A Acc	3183340		
Global Care UK Income Fund A Inc*	0502733		
Global Dividend Income Fund A Acc	0352637		
Global Dividend Income Fund A Inc*	0349435		
Global Financials Fund A Acc	3191934		
Global Financials Fund A Inc*	B4JVX46		
Global Focus Fund A Acc	3186060		
Global Innovation Unit Trust Acc	0701819		
Global Strategic Capital Unit Trust Acc	B19FLZ4		
Global Technology Fund A Acc	0769884		

Fund name	Sedol	Lump-sum	Monthly Saving <sup>††</sup>
High Yield Monthly Income Fund A Inc*	3191912		
Higher Income Fund A Acc	B3VZC64		
Higher Income Fund A Inc*	3125052		
Index Linked Bond Fund A Inc*	0746003		
Industries of the Future Fund A Inc*	0773120		
International Fund A Acc	0768632		
Japan Capital Growth Fund A Acc	0768320		
Japan Enhanced Equity Fund A Acc	B4JTJZ3		
Long Dated Credit Fund A Inc*	0747523		
Long Dated Gilt Fund A Acc	B45ZDW0		
Long Dated Gilt Fund A Inc*	0747675		
Managed Distribution Fund A Acc	3365524		
Managed Distribution Fund A Inc**	3237043		
Managed Distribution Fund M Inc***	B3KCGK8		
North American Enhanced Equity A Acc	BQLY5H3		
Preference & Bond Fund A Inc*	0753586		
Sterling Bond Unit Trust Acc	0701790		
Sterling Bond Unit Trust Inc*	0703387		
Strategic Bond Fund A Inc*	0749529		
UK Absolute Return Fund A Acc	B5KKCS6		
UK Alpha Fund A Acc	3095694		
UK and Irish Smaller Companies Fund A Acc	3244225		
UK Equity Income Fund A Inc*	0749303		
UK Gilt Fund A Inc*	0767004		
UK Index Fund A Acc	3289765		
UK Index Fund A Inc*	3338935		
UK Property Unit Trust Acc	0727860		
UK Property Unit Trust Inc*	0727859		
UK Smaller Companies Fund A Acc	0744728		
UK Strategic Capital Unit Trust Acc	B19FM28		
UK Strategic Income Unit Trust Inc*	B19FM84		
UK Tracker Fund A Acc	3289840		
US Growth Fund A Acc	3243846		
US Opportunities Fund A Acc	B019WC1		

Multi-Manager Funds	Sedol	Lump-sum	Monthly saving <sup>††</sup>	Monthly Withdrawal Facility	
				Per Month or	Per Annum (% of total investment)
Diversified Growth Fund A Acc	B3PJPM9			£	%
Multi-Manager Active Fund A Acc	3141359			£	%
Multi-Manager Distribution Fund A Inc*	0272542			£	%
Multi-Manager Income & Growth Fund A Acc	B4KXCG6			£	%
Multi-Manager Income & Growth Fund A Inc*	0254012			£	%
Multi-Manager Managed Fund A Acc	3141274			£	%
Multi-Manager Managed Fund A Inc*	3141285e			£	%
Multi-Manager Absolute Return Fund A Acc	B02W229				

\* Income option available to lump sum investors

\*\* Quarterly income allocation

\*\*\* Monthly income allocation

### Monthly withdrawal facility

If you wish to use the monthly withdrawal facility, you should indicate from which fund(s) the withdrawals should be taken and the amount per month or percentage per annum. You should also complete the direct credit slip overleaf. The withdrawal amount will be paid monthly into your bank/building society account on the 15th of each month (minimum £25 per month and maximum of 7.5% p.a. of total investment).

†† Monthly savers: Please indicate the date on which you wish collections to be made. If not specified, we will collect on the 1st of each month.

1st of month  15th of month

## SECTION 3 – Declaration and authority

I declare that all subscriptions made and to be made belong to me and that I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same year. I further declare that I am 18 years of age or over and I am resident and ordinarily resident in the UK, or perform duties which, by virtue of section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the UK or I am married to or in a civil partnership with a person who performs such duties. I will inform Henderson Global Investors Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to or in a civil partnership with, a person who performs such duties.

I hereby authorise Henderson Global Investors Limited to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments and on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investment or any cash.

The information on this completed application form is correct to the best of my knowledge and belief. I agree to be bound by the Simplified Prospectus including the Terms & Conditions relating to the ISA and the Individual Savings Account Regulations

Signature **X** \_\_\_\_\_

1998 and will inform Henderson Global Investors Limited in writing immediately of any changes in my circumstances. I understand that this transfer is subject to acceptance by Henderson Global Investors Limited.

I accept that under United Kingdom legislation and regulations concerning the prevention of money laundering and terrorist financing, all investors must be identified and I may be required to provide additional information to verify my identity. Henderson Global Investors and the Registrar and Transfer Agent shall be indemnified against any loss ensuing due to any delays in processing this application if such information required has not been provided.

I confirm that I cannot be classified as a US person (as defined in the Prospectus and Simplified Prospectus).

I acknowledge that US persons cannot hold shares in any of the funds listed.

I hereby authorise Henderson Global Investors Limited as Plan Manager to manage this ISA and agree to its Simplified Prospectus.

I have read the Simplified Prospectus and have retained a copy of this document for my records.

Date **X** \_\_\_\_\_

## SECTION 4 – Important information

**Monthly savings** – If making monthly savings, you must fill in the direct debit form and return it with this application form. Money laundering regulations oblige us to request that your first monthly investment is by a personal cheque drawn on the same account as your direct debit.

**Lump-sum and monthly savings** – Lump-sum payments can be made in addition to monthly direct debits.

**Income paid for lump-sum investors only** – If you want to receive income please complete the direct credit slip on the previous page with details of the bank/building society account into which you want payments to be made. Alternatively, where applicable, income will be automatically reinvested for you.

**Cancellation Rights** – If you apply directly to us, you will not have the right to cancel your investment. If you apply via an authorised financial adviser, you will receive a cancellation notice which gives you 14 days to change your mind. However, if the value of your investment has fallen between the time of issuing your shares or units and us receiving your cancellation notice, we may deduct the amount by which it has fallen from your payment before returning your money to you.

## Application checklist

IMPORTANT – Please read the following as incomplete applications may have to be returned. Please note we cannot accept c/o or PO Box addresses.

1. Have you completed in full the 'About yourself' information in section 1?
2. Have you supplied your date of birth and National Insurance Number (or ticked the box if you do not have a National Insurance Number)?
3. Have you stated the fund(s) you wish to invest and the amount you wish to invest per fund?
4. Have you signed and dated the form in the places marked with an **X**?
5. If you are a lump-sum investor (income shares/units only) and want income paid out to you, have you completed the direct credit slip and provided verification of the bank account? If you do not complete the direct credit slip, your income, where applicable, will automatically be reinvested to purchase further shares/units in the fund(s). If you are investing via monthly savings, where applicable, your income will automatically be reinvested.

6. Have you enclosed your cheque(s) made payable to **Henderson Global Investors**?

Please do not use staples.

Please return your application form and cheque(s) to **Henderson Global Investors, PO Box 9023, Chelmsford CM99 2WB.**

If you have any questions relating to your application, please call our Investor Services team on **0800 832 832.**







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