

Henderson Investment Funds Application

Valid from 12 August 2011

You should use this form if you wish to invest in the Henderson Investment Funds range. Further information is contained in the Simplified Prospectus. If you wish to invest in the Henderson Investment Funds range within an ISA, please complete the separate ISA application form instead of this one. Please complete this form in **BLOCK CAPITALS** and return it to **Henderson Global Investors, PO Box 9023, Chelmsford CM99 2WB**. Cheques should be made payable to 'Henderson Global Investors'.

If you fall within one of the specified categories of shareholders eligible for gross payment of interest or wish to invest in a gross interest paying share class, please contact our Investor Services team on **0800 832 832** for the relevant application form.

SECTION 1 – About yourself[†]

Are you an existing account holder? Y N If yes, please quote your account number

Personal Details

Title _____ Surname _____
 First name _____
 Permanent residential address in full _____

 _____ Postcode _____
 Email _____
 Tel No: Business _____
 Tel No: Home _____
 Fax No: _____
 Date of birth _____
 Account designation* (if applicable) _____
 Registered name (if not an individual, e.g. company name) _____

 Occupation (private individuals only) _____
 Nationality (private individuals only) _____

Joint Holder Details

Title _____ Surname _____
 First name _____
 Permanent residential address in full _____

 _____ Postcode _____
 Email _____
 Tel No: Business _____
 Tel No: Home _____
 Fax No: _____
 Date of birth _____
 Registered name (if not an individual, e.g. company name) _____

 Occupation (private individuals only) _____
 Nationality (private individuals only) _____

* Accounts for minors (children under 18 years of age) must be registered in the name of an adult (or adults) and designated in favour of the minor using their initials.

[†] If there are more than two holders (up to a maximum of four), then we need to be advised on a separate sheet detailing the required information contained in Section 1 to be sent with this application form.

Any information that you provide will be used to enable us to provide the service for which you have applied and will only be given to other companies or agents of the Henderson marketing and regulatory group. The data controller is Henderson Global Investors. We would like to contact you for market research purposes and to provide you with information on Henderson Global Investors' products and services from time to time. **If you do not wish to receive such information, please tick the box.** You may, upon payment of the appropriate fee, obtain a copy of the personal data we hold on you, correct your personal data or request to be removed from our mailing list by writing to us.

Investments cannot be registered in the name of any trust or executorship but must be registered in the names of the individual trustees and their details inserted in Section 1 or provided on a separate sheet.

Please also indicate the name of the trust/estate here: _____

Beneficial ownership This section must be completed – please refer to the beneficial ownership section within the Simplified Prospectus for more detail.

Are you the beneficial owner of this investment? Y N

(if you answer "no" you are required to provide the full details of the beneficial owner(s) in the box below).

Beneficial Owner(s)	Address	Date of birth
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

Agent's stamp

To be completed by the agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business.

Agency ref:

Discount %:

Commission payable %:

Advice not given by the agent to the customer.

(where this box **has not** been ticked, it will be assumed that advice has been given by the agent to the customer.)

Media code:



Henderson
GLOBAL INVESTORS

SECTION 2 – About your investment

Please select the fund(s) and the share/unit class you wish to invest in and write your investment amount in the appropriate box, depending on whether you wish to invest a lump-sum or by monthly savings. Information on the funds and share/unit classes is contained within each fund's Simplified Prospectus.

The minimum lump sum is £1,000 per fund, the minimum regular saving amount is £100 per month per fund, with the exception of the Global Care Growth Fund, the Global Care Managed Fund and the Global Care UK Income Fund where the minimum is \$50 per month per fund. The Henderson North American Enhanced Equity Fund has a minimum lump sum of \$5,000.

Cheques should be made payable to 'Henderson Global Investors'. If you are investing via regular savings, remember to complete the Direct Debit mandate. Please turn over to read, sign and date the declaration.

If you fall within one of the specified categories of shareholders eligible for gross payment of interest or wish to invest in a gross interest paying share class, please contact our Investor Services team on **0800 832 832** for the relevant application form.

Please see our website, www.henderson.com/absolutereturn to find out the availability of shares for the Henderson European Absolute Return Fund.

Fund name	Sedol	Lump-sum	Monthly saving ^{††}
All Stocks Credit Fund A Acc	B409PD2		
All Stocks Credit Fund A Inc*	0745107		
Asia Pacific Capital Growth Fund A Acc	0768018		
Asian Dividend Income Unit Trust Inc*	0324346		
Asian Dividend Income Unit Trust Acc	B58RLX7		
Cash Fund Retail Acc	0422853		
Cautious Managed Fund A Acc	3247763		
Cautious Managed Fund A Inc**	3247730		
China Opportunities Fund A Acc	3186093		
Credit Alpha A Acc	B3KTJD3		
Diversified Absolute Return Fund A Acc	B1GJNX1		
Emerging Markets Fund A Acc	0774037		
Emerging Markets Opportunities Fund A Acc	3186101		
European Absolute Return Fund A Acc	B3CPX15		
European Focus Fund A Acc	3186059		
European Growth Fund A Acc	3061770		
European Selected Opportunities Fund A Acc	3243794		
European Smaller Companies Fund A Acc	0747608		
European Special Situations Fund A Acc	B3W4624		
European Special Situations Fund A Inc*	B42RJH6		
European Value Fund A Acc	B1XQM27		
Extra Monthly Income Bond Fund Acc	B4627X9		
Extra Monthly Income Bond Fund Inc*	0244671		
Fixed Interest Monthly Income Fund Inc*	0192048		
Global Care Growth Fund A Inc*	0502722		
Global Care Managed Fund A Acc	3183340		
Global Care UK Income Fund A Inc*	0502733		
Global Dividend Income Fund A Acc	0352637		
Global Dividend Income Fund A Inc*	0349435		
Global Financials Fund A Acc	3191934		
Global Financials Fund A Inc*	B4JVX46		
Global Focus Fund A Acc	3186060		
Global Innovation Unit Trust Acc	0701819		
Global Strategic Capital Unit Trust Acc	B19FLZ4		
Global Technology Fund A Acc	0769884		
High Yield Monthly Income Fund A Inc*	3191912		

Fund name	Sedol	Lump-sum	Monthly saving ^{††}
Higher Income Fund A Acc	B3VZC64		
Higher Income Fund A Inc*	3125052		
Index Linked Bond Fund A Inc*	0746003		
Industries of the Future Fund A Inc*	0773120		
International Fund A Acc	0768632		
Japan Absolute Return Fund A Acc	B3QG0X5		
Japan Capital Growth Fund A Acc	0768320		
Japan Enhanced Equity Fund A Acc	B4JTJZ3		
Long Dated Credit Fund A Inc*	0747523		
Long Dated Gilt Fund A Acc	B45ZDW0		
Long Dated Gilt Fund A Inc*	0747675		
Managed Distribution Fund A Acc	3365524		
Managed Distribution Fund A Inc**	3237043		
Managed Distribution Fund M Inc***	B3KCGK8		
Money Market Unit Trust Acc	0444051		
North American Enhanced Equity Fund A Acc	B0LYSH3		
Overseas Bond Fund A Inc*	0767305		
Preference & Bond Fund A Inc*	0753586		
Sterling Bond Unit Trust Acc	0701790		
Sterling Bond Unit Trust Inc*	0703387		
Strategic Bond Fund A Inc*	0749529		
UK Absolute Return Fund A Acc	B5KKCS6		
UK Alpha Fund A Acc	3095694		
UK and Irish Smaller Companies Fund A Acc	3244225		
UK Equity Income Fund A Inc*	0749303		
UK Index Fund A Acc	3289765		
UK Index Fund A Inc*	3338935		
UK Gilt Fund A Inc*	0767004		
UK Property Unit Trust Acc	0727860		
UK Property Unit Trust Inc*	0727859		
UK Smaller Companies Fund A Acc	0744728		
UK Strategic Capital Unit Trust Acc	B19FM28		
UK Strategic Income Unit Trust Inc*	B19FM84		
UK Tracker Fund A Acc	3289840		
US Growth Fund A Acc	3243846		
US Opportunities Fund A Acc	B019WC1		

* Income option available to lump sum investors

** Quarterly income allocation

*** Monthly income allocation

Multi-Manager Funds	Sedol	Lump-sum	Monthly saving ^{††}	Monthly Withdrawal Facility	
				Per Month or	Per Annum (% of total investment)
Diversified Growth Fund A Acc	B3PJPM9			£	%
Multi-Manager Absolute Return Fund A Acc	B02W229			£	%
Multi-Manager Active Fund A Acc	3141359			£	%
Multi-Manager Distribution Fund A Inc*	0272542			£	%
Multi-Manager Income & Growth Fund A Acc	B4KXCG6			£	%
Multi-Manager Income & Growth Fund A Inc*	0254012			£	%
Multi-Manager Managed Fund A Acc	3141274			£	%
Multi-Manager Managed Fund A Inc*	3141285			£	%

* Income option available to lump sum investors

** Quarterly income allocation

*** Monthly income allocation

Monthly withdrawal facility

If you wish to use the monthly withdrawal facility, you should indicate from which fund(s) the withdrawals should be taken and the amount per month or percentage per annum. You should also complete the direct credit slip overleaf. The withdrawal amount will be paid monthly into your bank/building society account on the 15th of each month (minimum £25 per month and maximum of 7.5% p.a. of total investment).

†† Monthly savers: Please indicate the date on which you wish collections to be made. If not specified, we will collect on the 1st of each month.

1st of month

15th of month

SECTION 3 – Declaration and authority

I/We wish to purchase shares/units in accordance with my/our instructions on this application form. I am/We are over 18 years of age. I/We enclose a cheque made payable to Henderson Global Investors for the lump sum amount to be invested and/or the first monthly payment and confirm that all these monies are my/our own.

I/We accept that under United Kingdom legislation and regulations concerning the prevention of money laundering and terrorist financing, all investors must be identified and I/we may be required to provide additional information to verify my/our identity. Henderson Global Investors and the Registrar and Transfer Agent shall be indemnified against any loss ensuing due to any delays in processing this application if such information required has not been provided.

I/We confirm that I/we cannot be classified as a US person(s) (as defined in the Prospectus and Simplified Prospectus). I/We acknowledge that US persons cannot hold shares in any of the funds listed.

I/We have read the Simplified Prospectus and have retained a copy of this document for my/our records.

I/We have read and understood, and agree to be bound by the Simplified Prospectus, including those parts that explain the basis on which Henderson Investment Funds Limited will provide certain services to me/us. The Simplified Prospectus will constitute a written agreement between me/us and Henderson Investment Funds Limited for that purpose.

If there are joint applicants all must sign and date.

1. Signature _____

Date _____

2. Signature _____

Date _____

3. Signature _____

Date _____

4. Signature _____

Date _____

SECTION 4 – Important information

Monthly savings – If making monthly savings, you must fill in the direct debit form and return it with this application form. Money laundering regulations oblige us to request that your first monthly investment is by a personal cheque drawn on the same account as your direct debit.

Lump-sum and monthly savings – Lump-sum payments can be made in addition to monthly direct debits.

Income paid for lump-sum investors only – If you want to receive income, please complete the direct credit slip attached with details of the bank/building society account into which you want payments to be made. Alternatively, where applicable, income will be automatically reinvested for you.

Corporate application – If you are completing this form on behalf of a company, please be aware that we will require two authorised signatures and a company stamp in order to proceed with this application.

Cancellation rights – If you apply directly to us, you will not have the right to cancel your investment. If you apply via an authorised financial adviser, you will receive a cancellation notice which gives you 14 days to change your mind. However, if the value of your investment has fallen between the time of issuing your shares or units and us receiving your cancellation notice, we may deduct the amount by which it has fallen from your payment before returning your money to you.

Application checklist

IMPORTANT – Please read the following as incomplete applications may have to be returned.

1. Have you completed in full the 'About yourself' information in section 1?
2. If there is more than one holder, have their personal details been supplied on a separate sheet?
3. Have you selected the fund(s) in which you wish to invest and the amount you wish to invest per fund?
4. Have all holders signed and dated the application form in the places marked with an or the separate sheet?
5. If you are a lump-sum investor (income shares/units only) and want income paid out to you, have you completed the direct credit slip and provided verification of the bank account? If you do not complete the direct credit slip, your income, where applicable, will automatically be reinvested to purchase further shares/units in the fund(s). If you are investing via monthly savings, where applicable, your income will automatically be reinvested.

6. If you wish to make monthly savings, have you completed the direct debit form and indicated the date you wish collections to be made? Please note a cheque for your first monthly investment should be enclosed.

7. Have you enclosed your cheque made payable to **Henderson Global Investors**? Please do not use staples.

Please return your application form and cheque(s) to
Henderson Global Investors, PO Box 9023, Chelmsford CM99 2WB.

If you have any questions relating to your application, please call our Investor Services team on **0800 832 832**.

Issued in the UK by Henderson Global Investors. Henderson Global Investors is the name under which Henderson Global Investors Limited (reg. no. 906355), Henderson Fund Management plc (reg. no. 2607112), Henderson Investment Funds Limited (reg. no. 2678531), Henderson Investment Management Limited (reg. no. 1795354), Henderson Alternative Investment Advisor Limited (reg. no. 962757), Henderson Equity Partners Limited (reg. no. 2606646), (each incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London EC2M 3AE), Gartmore Investment Limited (reg. no. 1508030), Gartmore Fund Managers Limited (reg. no. 1137353), (each incorporated and registered in England and Wales with registered office 201 Bishopsgate, London EC2M 3AE) and authorised and regulated by the Financial Services Authority) provide investment products and services. Telephone calls may be recorded and monitored.

