

# Dealing Form

Private share class

**Please complete this form in BLOCK CAPITALS and return to:**

The Registrar and Transfer Agent, BNP Paribas Securities Services, 33 rue de Gasperich, Howald-Hesperange, L-2085 Luxembourg  
Tel: +352 2696 2050  
Fax: +352 2696 9748

Please tick the box (as appropriate) to indicate whether you are an existing investor in the Henderson Horizon Fund (the "Fund"):

Yes  No  If yes, please provide your Personal Services Number \_\_\_\_\_

If no, please complete the Application Form (for Private Investors)

## SECTION 1 – Investment choice

**The Henderson Horizon Fund provides two sub-classes of shares.**

A shares	Front-end load shares with an initial sales charge of up to 5%.
R shares	Front-end load shares with an initial charge of up to 5% (European Growth Fund only).
Distribution shares (1)	Distributing shares that pay out dividends, if any, on a regular basis.
Accumulation shares (2)	Non-distributing shares that do not pay out dividends or interest. Any dividends arising from the underlying securities are retained in the fund.

The minimum subscription amount in each fund is US\$ 2,500 or the equivalent in the payment currency. The minimum subsequent subscription amount for each fund is US\$ 500 or the equivalent in the payment currency.

Please refer to the current Henderson Horizon Fund Prospectus (the "Prospectus") for further information.

Please complete Appendix 1, Investment Choice.

### Unhedged Share Classes

If the currency of payment is not specified, it will be assumed that payment will be made in the currency in which the fund is denominated (Base Currency). Shares may be bought in the currencies detailed in Appendix 1, Investment Choice, or in any major currency accepted by the Registrar and Transfer Agent. However, if the currency of investment is different from the currencies detailed in Appendix 1, Investment Choice for the relevant fund, the necessary currency conversion will be arranged on behalf of, and at the risk and expense of, the applicant.

### Hedged Share Classes

Payment should be made in the currency in which the share class is denominated.

Please refer to the current Prospectus for further information on the unhedged and hedged share classes and on the base currency of the funds.

Applications for shares will only be accepted either by telephone or in writing (for authorised parties). The Fund will not accept unsolicited receipts of cash for either new or existing customers.

Daily dealing cut off is 1.00pm Luxembourg time on any Business Day. For deals placed after this deadline, deals will be processed on the next Business Day after receipt. Trades will only be placed once an account has been opened i.e. a completed Application Form and all necessary Know Your Client/Anti-Money Laundering documentation has been received.

## SECTION 2 – Method of payment

Please indicate below :

For **subscriptions**: how you have arranged for payments to be made and follow the detailed payment instructions set out in **section 3** of this form

telegraphic transfer

cheque

For **redemptions**: how you would like payment of redemption proceeds to be made, and complete the details below

telegraphic transfer

cheque

Amount Currency \_\_\_\_\_

Bank Name \_\_\_\_\_

Street/No \_\_\_\_\_

Postcode \_\_\_\_\_ City \_\_\_\_\_ Country \_\_\_\_\_

BIC/SWIFT code

and/or National Bank Code

(ex.BLZ, sort code...) \_\_\_\_\_

Account Number (IBAN if existing)\* \_\_\_\_\_

Account Holder Name\*\* \_\_\_\_\_

\* Please note that an IBAN must be provided if your account is in Euro.

\*\* Please note that third party payments are not accepted (except if the investor is a minor – in this case, payment can be made by the guardian).

## SECTION 3 – Payment instructions

If you are making payment by **TELEGRAPHIC TRANSFER**, please follow the instructions below:

**Settlement for subscriptions will be due in cleared funds (net of bank charges) within four business days of the dealing day and settlement for redemptions will normally be made within four business days of the dealing day.** Where the settlement date is not a bank business day in Luxembourg or another financial centre affecting the movement of the relevant currency, the settlement date shall be the following bank business day in those financial centres.

**Should payment in cleared funds not be received by the due settlement date, the Company will reserve the right to rescind the allotment of shares subscribed for, cancel the contract without compensation and/or pass on the costs of such cancellation, and where applicable, charge interest at market rates.**

If you are making payment by **CHEQUE** this should be made payable to "Henderson Horizon Fund" and sent to the Registrar and Transfer Agent, in Luxembourg, at the following address: BNP Paribas Securities Services, Luxembourg Branch, 33, rue de Gasperich, Howald-Hesperange, L-2085 Luxembourg.

Please find below details of the correspondent banks:

Euro	<p><b>Correspondent Bank:</b> BNP Paribas Securities Services, France 3 Rue D'Antin 75002 Paris France BIC Code: PARBFRFP</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU833280351881C00978 A/c Name: Henderson Horizon Fund SICAV</p>	Singapore Dollars	<p><b>Correspondent Bank:</b> BNP Paribas Securities Services SA Tung Centre 20 Collyer Quay Singapore 049319 – Singapore BIC code: PARBSGSG</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU663280351881C00702 A/c Name: Henderson Horizon Fund SICAV</p>
US Dollars	<p><b>Correspondent Bank:</b> BNP Paribas U.S.A – New York Branch The Equitable Tower 787 Seventh Avenue New York, NY 10019 – United States BIC code: BNPAUS3N</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU263280351881C00840 A/c Name: Henderson Horizon Fund SICAV</p>	Hong Kong Dollars	<p><b>Correspondent Bank:</b> Hong Kong and Shanghai Banking Corporation Limited, The 1 Queen's Road Central POB 64 Hong Kong BIC code : HSBCHKHCHKH</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU323280351881C00344 A/c Name: Henderson Horizon Fund SICAV</p>
Japanese Yen	<p><b>Correspondent Bank:</b> Hong Kong and Shanghai Banking Corporation Limited, The HSBC Building 11-1, Nihonbashi 3-Chome, Chuo-Ku Tokyo 103-0027 Japan BIC code: HSBCJPJT</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU943280351881C00392 A/c Name: Henderson Horizon Fund SICAV</p>	Swedish Krona	<p><b>Correspondent Bank:</b> DANSKE BANK Holmens Kanal 2-12 DK-1092 Copenhagen K BIC Code: DABADKKK</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU74 3280 3518 81 C0 0752 A/c Name: Henderson Horizon Fund SICAV</p>
Sterling	<p><b>Correspondent Bank:</b> BNP Paribas Securities Services, United Kingdom Marylebone Gate 10 Harewood Avenue London NW1 6AA – United Kingdom BIC code: PARBGB2L</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU163280351881C00826 A/c Name: Henderson Horizon Fund SICAV</p>	Swiss Franc	<p><b>Correspondent Bank:</b> BNP PARIBAS SECURITIES SERVICES SA Limmatquai 4 CH-8024 Zürich BIC Code: PARBCHZZ</p> <p><b>Beneficiary Bank:</b> BNP Paribas Securities Services, Luxembourg H20 33 rue de Gasperich L-2085 Luxembourg BIC code: PARBLULL A/c No. (IBAN) LU63 3280 3518 81C0 0756 A/c Name: Henderson Horizon Fund SICAV</p>

## SECTION 4 – Authorised signatories

Investor's signatory 1 \_\_\_\_\_ Investor's signatory 2 \_\_\_\_\_

Signatory's name \_\_\_\_\_ Signatory's name \_\_\_\_\_

Date \_\_\_\_\_ Date \_\_\_\_\_

### Declaration

The applicant certifies that the acknowledgements, representations and warranties made and given in the original applications continue to be true and accurate with respect to the transactions specified above.

Henderson Horizon Fund is an open ended investment company incorporated in Luxembourg as a société d'investissement à capital variable (SICAV) on 30 May 1985 and is a recognised collective investment scheme for the purposes of promotion into the UK. Potential investors in the United Kingdom are advised that all, or most, of the protections afforded by the United Kingdom regulatory system will not apply to an investment in the Fund and that compensation will not be available under the United Kingdom Financial Services Compensation Scheme.

**By subscription or purchase of shares, you accept that the entries in the register of shareholders may be made available to other companies within the Henderson Group, for the purposes of providing enhanced shareholder related services.**



Henderson Global Investors is the name under which Henderson Global Investors Limited (reg. no. 906355), Henderson Fund Management plc (reg. no. 2607112), Henderson Investment Funds Limited (reg. no. 2678531), Henderson Investment Management Limited (reg. no. 1795354), Henderson Alternative Investment Advisor Limited (reg. no. 962757) and Henderson Equity Partners Limited (reg. no. 2606646) (each incorporated and registered in England and Wales with registered office at 201 Bishopsgate, London EC2M 3AE and authorised and regulated by the Financial Services Authority) provide investment products and services. Telephone calls may be recorded and monitored.

## Appendix 1 – Investment choice

Please tick the boxes below and write the amounts you wish to invest in each fund.

A1 = Distribution Shares    A2 = Accumulation Shares    R = Distribution Shares

REGIONAL FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>†</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
American Equity	A2 EUR	LU0572936754			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0209161503			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0138820294			<input type="checkbox"/>	<input type="checkbox"/>
Asian Dividend Income	A1 EUR	LU0572940194			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0572939261			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0264605907			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0264606111			<input type="checkbox"/>	<input type="checkbox"/>
	A1 GBP	LU0564657079			<input type="checkbox"/>	<input type="checkbox"/>
	A1 SGD	LU0572940350			<input type="checkbox"/>	<input type="checkbox"/>
	A2 SGD	LU0572939691			<input type="checkbox"/>	<input type="checkbox"/>
Asian Growth	A1 USD	LU0209160109			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0011890851			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0622223799			<input type="checkbox"/>	<input type="checkbox"/>
Euroland	A1 EUR	LU0209157576			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0011889846			<input type="checkbox"/>	<input type="checkbox"/>
European Growth	* R EUR	LU0504465815			<input type="checkbox"/>	<input type="checkbox"/>
	* R GBP (hedged)	LU0506423218			<input type="checkbox"/>	<input type="checkbox"/>
	* R USD (hedged)	LU0506436111			<input type="checkbox"/>	<input type="checkbox"/>
	A1 EUR	LU0503932591			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0503932328			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0506199230			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0506199156			<input type="checkbox"/>	<input type="checkbox"/>
Japanese Equity	A2 EUR	LU0572957834			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0209158897			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0011889929			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Equity	A1 EUR	LU0209157733			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0138821268			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0705775699			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Equity Dividend	A1 EUR	LU0209158038			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0197673881			<input type="checkbox"/>	<input type="checkbox"/>

SPECIALIST FUNDS	SHARE CLASS	ISIN CODE	Amount (state currency) <sup>†</sup>	Number of shares	Subscription (please tick)	Redemption (please tick)
Asia-Pacific Property Equities	A1 EUR	LU0572942729			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0572942307			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0229494629			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0229494975			<input type="checkbox"/>	<input type="checkbox"/>
China	A1 EUR	LU0572945318			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0572944774			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD	LU0327786827			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0327786744			<input type="checkbox"/>	<input type="checkbox"/>
	A2 SGD	LU0572944931			<input type="checkbox"/>	<input type="checkbox"/>
Global Opportunities	A1 USD	LU0209160448			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0138809214			<input type="checkbox"/>	<input type="checkbox"/>
Global Property Equities	A1 USD	LU0209137206			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0209137388			<input type="checkbox"/>	<input type="checkbox"/>
	A1 EUR	LU0264737999			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0264738294			<input type="checkbox"/>	<input type="checkbox"/>
	A1 GBP	LU0264738708			<input type="checkbox"/>	<input type="checkbox"/>
	A2 GBP	LU0264739185			<input type="checkbox"/>	<input type="checkbox"/>

\* Class R shares are closed to investments from new investors.

† If different from share class currency.

<b>SPECIALIST FUNDS (cont'd)</b>	<b>SHARE CLASS</b>	<b>ISIN CODE</b>	<b>Amount (state currency)†</b>	<b>Number of shares</b>	<b>Subscription (please tick)</b>	<b>Redemption (please tick)</b>
Global Technology	A1 USD	LU0209158467			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0070992663			<input type="checkbox"/>	<input type="checkbox"/>
	A1 GBP	LU0572952447			<input type="checkbox"/>	<input type="checkbox"/>
	A2 GBP	LU0572952017			<input type="checkbox"/>	<input type="checkbox"/>
	A1 EUR	LU0572952876			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0572952280			<input type="checkbox"/>	<input type="checkbox"/>
Japanese Smaller Companies	A1 USD	LU0209159515			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD	LU0011890265			<input type="checkbox"/>	<input type="checkbox"/>
	A2 JPY	LU0572961604			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Alpha	A1 EUR	LU0264597450			<input type="checkbox"/>	<input type="checkbox"/>
	A1 GBP (hedged)	LU0506197531			<input type="checkbox"/>	<input type="checkbox"/>
	A1 USD (hedged)	LU0506197705			<input type="checkbox"/>	<input type="checkbox"/>
	A2 SGD (hedged)	LU0506197960			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0264597617			<input type="checkbox"/>	<input type="checkbox"/>
	A2 GBP (hedged)	LU0506197457			<input type="checkbox"/>	<input type="checkbox"/>
	A2 USD (hedged)	LU0506197614			<input type="checkbox"/>	<input type="checkbox"/>
	A2 SGD (hedged)	LU0506197887			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Property Equities	A1 EUR	LU0209156925			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0088927925			<input type="checkbox"/>	<input type="checkbox"/>
Pan European Smaller Companies	A1 EUR	LU0209157220			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0046217351			<input type="checkbox"/>	<input type="checkbox"/>

<b>BOND FUNDS</b>	<b>SHARE CLASS</b>	<b>ISIN CODE</b>	<b>Amount (state currency)†</b>	<b>Number of shares</b>	<b>Subscription (please tick)</b>	<b>Redemption (please tick)</b>
Euro Corporate Bond	A1 EUR	LU0451950405			<input type="checkbox"/>	<input type="checkbox"/>
	A2 EUR	LU0451950314			<input type="checkbox"/>	<input type="checkbox"/>
	A1 GBP (hedged)	LU0593293326			<input type="checkbox"/>	<input type="checkbox"/>
	A2 GBP (hedged)	LU0593293672			<input type="checkbox"/>	<input type="checkbox"/>
	A1 SEK (hedged)	LU0642280050			<input type="checkbox"/>	<input type="checkbox"/>
	A2 SEK (hedged)	LU0642280134			<input type="checkbox"/>	<input type="checkbox"/>
	A2 CHF (hedged)	LU0642280647			<input type="checkbox"/>	<input type="checkbox"/>

\* Class R shares are closed to investments from new investors.

† If different from share class currency.